



Receipt

Docket No: 1064-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Conover, et al.

Serial No: 09/761,514

Filed: 01/16/2001

For: Optical Component Installation and Train
Alignment Process Utilizing Metrology and
Plastic Deformation

Group: 2633

Examiner: Unknown

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MAILING CERTIFICATE

I, Elizabeth S. Clemente, certify that this correspondence was placed in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 and I have a reasonable basis to believe that it will be deposited with the US Postal Service as first class mail, postage prepaid, on or before August 23, 2001.

Elizabeth S. Clemente
(signature)

8/23/2001
(date)

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Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir/Madam:

The Applicant requests a corrected filing receipt.

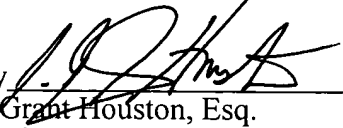
The first page of the specification for this application listed "Margaret B. Stern" as an inventor, but the declaration incorrectly identified the inventor's name as "Margaret A. Stern". The filing receipt mailed on 29 May 2001, also incorrectly states "Margaret A. Stern".

Enclosed is a Supplemental Declaration, with the correct spelling of the inventor's name and middle initial, Margaret B. Stern.

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Please send a corrected filing receipt reflecting this change, as also marked on the attached copy of the filing receipt.

Respectfully submitted,
AXSUN TECHNOLOGIES, INC.

By 
J. Grant Houston, Esq.
Registration No.: 35,900
Tel.: (978) 262-0049
Fax: (978) 262-0035

Date: August 21, 2001

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/761,514	01/16/2001	2633	854	1064-US	6	28	1

CONFIRMATION NO. 5648

25263
J GRANT HOUSTON
AXSUN TECHNOLOGIES INC
1 FORTUNE DRIVE
BILLERICA, MA 01821

UPDATED FILING RECEIPT



OC000000006122136

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Date Mailed: 05/29/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Steven D. Conover, Chelmsford, MA;
Randal A. Murdza, North Andover, MA;
Margaret A. Stern, Sudbury, MA;

B.

Domestic Priority data as claimed by applicant

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

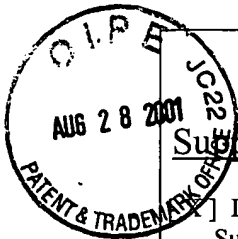
Title

Optical component installation and train alignment process utilizing metrology and plastic deformation

Preliminary Class

37-200
37-100
37-100
37-100
37-100

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Supplemental Declaration for Utility or Design Patent Application (37 CFR §1.63)

☐ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge) (37 CFR 1.16 (e)) required)

Attorney Docket No: 1064-US
First Named Inventor: Steven D. Conover
COMPLETE IF KNOWN:
Application Number: 09/761,514
Filing Date: 01/16/2001
Group Art Unit: 2633
Examiner Name: Unknown

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 3 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Optical Component Installation and Train Alignment Process Utilizing Metrology and Plastic Deformation

the specification of which (check one)

☐ is attached hereto. OR ☒ was filed on January 16, 2001 as United States Application Number or PCT International Application No. 09/761,514. and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			Priority Not Claimed	Certified Copy Attached?	
				YES	NO
(Number) _____	(Country) _____	(Day/Month/Year filed) _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number) _____	(Country) _____	(Day/Month/Year filed) _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(Number) _____	(Country) _____	(Day/Month/Year filed) _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below

(Application Number) _____	(Filing Date) _____
(Application Number) _____	(Filing Date) _____

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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (U.S. Parent Application or PCT Parent No.)	_____ (Parent Filing date)	_____ (Parent Patent No. (if applicable))
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_____ (U.S. Parent Application or PCT Parent No.)	_____ (Parent Filing date)	_____ (Parent Patent No. (if applicable))
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_____ (U.S. Parent Application or PCT Parent No.)	_____ (Parent Filing date)	_____ (Parent Patent No. (if applicable))
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As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith .

Customer No. 25263

OR

Registered practitioner(s) name/registration number listed below:

J. Grant Houston, Esq.

Registration No: 35,900

Direct all correspondence to:

☒ Customer No. 25263

OR

☐ Correspondence Address below:

**J. Grant Houston, Esq.
AXSUN TECHNOLOGIES, INC.
One Fortune Drive
Billerica, Massachusetts 01821**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

☐ A Petition has been filed for this unsigned inventor

Full name of sole or first inventor Steven D. Conover

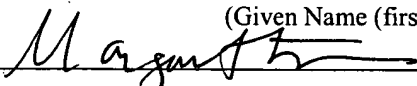
(Given Name (first, middle [if any], and Family Name or Surname)

Inventor's Signature _____ Date _____

Residence 7 Hillside Lane
Chelmsford, MA 01824

Citizenship U.S.A.

Post Office Address Same as Above

<input type="checkbox"/> A Petition has been filed for this unsigned inventor	
Full name of second inventor	<u>Randal A. Murdza</u> (Given Name (first, middle [if any], and Family Name or Surname)
Inventor's Signature	_____ Date _____
Residence	<u>55 Foxwood Drive</u> <u>North Andover, MA 01845</u>
Citizenship	<u>U.S.A.</u>
Post Office Address	<u>Same as Above</u>
<input type="checkbox"/> A Petition has been filed for this unsigned inventor	
Full name of third inventor	<u>Margaret B. Stern</u> (Given Name (first, middle [if any], and Family Name or Surname)
Inventor's Signature	<u></u> Date <u>6/06/01</u>
Residence	<u>177 Plympton Road</u> <u>Sudbury, MA 01776</u>
Citizenship	<u>U.S.A.</u>
Post Office Address	<u>Same as Above</u>